

NSCC Mutual Fund Services List by Funds

10-Nov-08

| NSCC# | Alpha | Fund | F/S | Ntwrk | Ntwrk Agrmnt | ToRA | ACATS F/S | Comm Settlmnt | DCC&S | MFP |
|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 6504 | TR | ABN AMBRO INVESTMENT TRUST COMPANY/AAIF LUXEMBOURG | X | X | | | | X | | |
| 5678 | O4 | Accrued Equities, Inc.(New Alternatives Fund) | X | X | | | | | | |
| 6613 | LM | ADIRONDACK FUNDS | X | X | | | | | | |
| 5706 | \$ XN | Adviser Dealer Services, Inc. | X | X | Y | | | X | X | X |
| 5446 | W2 | AFSG Securities Corp./Transamerica Premier Funds | X | X | | | X | X | X | X |
| 5580 | IS | AFSG Securities Corporation | X | X | Y | X | X | X | X | X |
| 5596 | SA | AIG SunAmerica Capital Services, Inc. | X | X | Y | | X | X | X | X |
| 5515 | AL | AllianceBernstein Investment Research and Investment Management, Inc. | X | X | Y | X | X | X | X | X |
| 5809 | AZ | AllianceBernstein Investment Research and Investment Management, Inc./International Division | X | X | Y | | X | X | X | X |
| 5553 | XG | AllianceBernstein Investment Research and Investment Management, Inc/Sanford C. Bernstein | X | X | | | | | X | X |
| 5554 | TM | Allianz Global Investors Distributors LLC | X | X | Y | X | X | X | X | X |
| 5579 | XT | Allianz Global Investors Distributors LLC/College Access Plan | X | X | | | X | X | X | X |
| 4836 | ZD | Allianz Global Investors Distributors LLC/Global Investor Series PLC | X | X | | | | X | | X |
| 5784 | \$ F3 | Allianz Global Investors Distributors LLC/Pimco Advisory Services | X | X | | | | | X | X |
| 4991 | N2 | Allianz Global Investors Distributors LLC/Pimco Institutional Funds | X | X | | X | | X | X | X |
| 5703 | \$ DN | Allianz Global Investors Distributors LLC/Premier VIT | X | X | | | X | X | | X |
| 5609 | VS | ALLIANZ GLOBAL INVESTORS DISTRIBUTORS, LLC/ALLIANZ | X | X | | | | X | | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5889 | \$ O8 | ALPS Distributors Inc./ Forward Funds | X | X | | | X | X | X | |
| 5635 | Z2 | ALPS DISTRIBUTORS INC./ALPS VARIABLE INSURANCE TRU | X | X | | | X | X | | X |
| 5874 | TG | ALPS Distributors Inc./Fifth Third Funds | X | X | | X | X | X | X | X |
| 4901 | \$ JY | ALPS Distributors Inc./FirstHand Funds | X | X | | X | X | X | X | X |
| 6550 | \$ 3C | Alps Distributors Inc./Henssler Equity | X | X | Y | | X | X | X | |
| 6597 | \$ HQ | Alps Distributors Inc./Holland Balanced Fund | X | X | | | X | | | X |
| 4903 | \$ UE | ALPS Distributors Inc./Laudus Funds | X | X | | X | X | X | X | |
| 5904 | W8 | ALPS DISTRIBUTORS INC./RED ROCK FUNDS | X | X | | | X | X | | |
| 5696 | RQ | ALPS DISTRIBUTORS INC/MTB FUNDS | | | | | | | | |
| 5642 | \$ WT | ALPS Distributors, Inc. | X | X | Y | | X | | X | X |
| 4726 | 4Z | ALPS DISTRIBUTORS, INC. / STATE STREET INSTITUTION | | | | | X | | | |
| 5455 | X4 | ALPS Distributors, Inc./Ameristock Mutual Funds | X | X | | | X | | X | |
| 5682 | ZX | ALPS DISTRIBUTORS, INC./DRAKE FUNDS | | | | | | | | |
| 5571 | \$ HA | ALPS Distributors, Inc./Heartland Funds | X | X | Y | X | X | X | X | X |
| 6516 | \$ 5E | Alps Distributors, Inc./State Street Institutional Trust | X | X | | | X | X | X | X |
| 5806 | \$ O6 | ALPS Distributors, Inc./Stonebridge Capital Management | X | X | Y | | | | | X |
| 5650 | DY | ALPS DISTRIBUTORS, INC./UTOPIA FUNDS | X | X | | | X | X | | |
| 4997 | \$ BQ | Alps Distributors, Inc./W.P. Stewart & Co. Growth Fund | X | | | | | | | X |
| 5646 | \$ BG | American Century Investment Services Inc. | X | X | | | X | X | X | X |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 6616 | 7A | American Financial Advisors | X | X | Y | | X | X | X | X |
| 5504 | AM | American Funds Distributor, Inc. | X | X | Y | X | X | X | X | X |
| 6508 | | AMERICAN FUNDS DISTRIBUTORS, INC./LC PLANPREMIER | | | | | | X | | |
| 5676 | AP | American Growth Fund Sponsors, Inc. | X | X | Y | X | X | X | X | X |
| 6610 | | American Skandia Marketing Inc. | X | | | | | | X | X |
| 4894 | BV | AMERICAN STOCK TRANSFER & TRUST CO. | X | X | | | | | X | |
| 4843 | ZK | Amerprise Financial Advisors/TR Collective Funds | X | X | | | | | X | |
| 4951 | BI | ANCORA SECURITIES, INC. | X | X | | | | | | |
| 5740 | 2X | AQUARIUS FUND DISTRIBUTORS LLC, LP/PATHMASTER MUTUAL FUNDS | X | X | | X | X | X | X | X |
| 6696 | YN | AQUARIUS FUND DISTRIBUTORS LLC/ANCHOR MULTI-STRATEGY GROWTH FUND | X | X | | | X | X | X | |
| 4824 | UK | AQUARIUS FUND DISTRIBUTORS LLC/ARROW FUNDS | X | X | | | X | X | X | X |
| 6506 | R7 | Aquarius Fund Distributors LLC/Autopilot Managed Growth Fund | X | X | | | X | X | X | |
| 5634 | ZI | AQUARIUS FUND DISTRIBUTORS LLC/DENT STRATEGIC PORT | X | X | | | X | X | X | X |
| 5618 | RY | AQUARIUS FUND DISTRIBUTORS LLC/FREE ENTERPRISE | X | X | | X | X | X | X | |
| 5622 | WD | AQUARIUS FUND DISTRIBUTORS LLC/MILLER CONVERTIBLE | X | X | | | X | X | X | |
| 5628 | VD | AQUARIUS FUND DISTRIBUTORS LLC/SIERRA CORE RETIREM | X | X | | | X | X | X | |
| 5765 | \$ VL | Aquarius Fund Distributors LLC/The Jacobs & Company Mutual Fund | X | X | | X | X | X | | X |
| 4877 | \$ QO | Aquarius Fund Distributors LLC/The Santa Barbara Group of Funds | X | X | Y | | X | X | X | X |
| 5796 | \$ K9 | Aquarius Fund Distributors, LLC /Boyar Value Fund | X | X | | | X | X | X | |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 4904 | EU | Aquarius Fund Distributors, LLC/Advisor One Funds | X | X | | | | X | X | X |
| 5688 | JW | AQUARIUS FUND DISTRIBUTORS, LLC/ALPHASTREAM SPECIA | X | X | | | X | X | X | |
| 4825 | UJ | Aquarius Fund Distributors, LLC/Biltmore Funds | X | X | | | X | X | X | |
| 6544 | 6V | AQUARIUS FUND DISTRIBUTORS, LLC/BRYCE CAPITAL FUND | X | X | | | X | X | X | |
| 6694 | GA | Aquarius Fund Distributors, LLC/Critical Math Fund | X | X | | | X | X | X | |
| 5758 | HX | AQUARIUS FUND DISTRIBUTORS, LLC/GRATIO VALUE/S FUN | X | X | | | X | X | X | |
| 6604 | 5Z | Aquarius Fund Distributors, LLC/New River Funds | X | X | | | X | X | X | |
| 5644 | I1 | Aquarius Fund Distributors, LLC/Pacific Financial | X | X | | | X | X | X | X |
| 5685 | I9 | Aquarius Fund Distributors, LLC/Palantir Fund | X | X | | | X | X | X | |
| 6624 | 7P | Aquarius Fund Distributors, LLC/Puerto Rico Growth Fund | X | X | | | X | X | X | X |
| 5577 | HO | AQUARIUS FUND DISTRIBUTORS, LLC/ROANOKE SMALL-CAP | X | X | | | X | X | X | |
| 4909 | II | Aquarius Fund Distributors, LLC/XTF Investors Trust | X | X | | | X | | X | |
| 4959 | \$ B2 | Aquarius Fund Distributors, LLC/The Saratoga Advantage Trust | X | X | | | X | X | X | |
| 5751 | \$ DK | Ariel Distributors, Inc. | X | X | | X | X | X | X | X |
| 6653 | H8 | Artisan Distributors, LLC | X | X | | | X | | X | X |
| 5581 | SG | ASB Securities, LLC (First Eagle SoGen Funds) | X | X | Y | X | X | X | X | X |
| 5662 | \$ G6 | Azzad Fund | X | X | | | | | X | |
| 5530 | PP | B.C. Ziegler and Company | X | X | Y | X | X | X | X | X |
| 5812 | \$ MM | BACAP Distributors, LLC (Nations Funds) | X | X | Y | | X | X | X | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 4722 | 1G | BARCLAYS GLOBAL INVESTORS, N.A. | X | X | Y | | | | | X |
| 5536 | XE | BARON CAPITAL INC./BARON MANAGED FUNDS | X | X | | | | | | |
| 4862 | \$ KD | Baron Capital, Inc. | X | X | Y | X | X | X | X | X |
| 5882 | PG | BlackRock Investments Inc. | X | X | Y | | X | X | X | X |
| 5813 | KN | BlackRock Investments Inc. | X | X | | | | | | X |
| 4868 | N1 | BlackRock Investments, Inc. | X | X | | | | | | |
| 5551 | G9 | BNP Paribas Brokerage Services-Parvest Offshore Funds | X | X | Y | | | X | | |
| 5881 | \$ BY | BNY Hamilton Distributors, Inc. | X | X | | X | | X | X | X |
| 5583 | BS | Burnham Securities, Inc. | X | X | Y | X | X | X | X | X |
| 6621 | ZA | CALAMOS FINANCIAL SERVICES LLC/CALAMOS GLOBAL FUND | X | X | | | | X | | |
| 5835 | CV | Calamos Fincl. Services, Inc. | X | X | Y | X | X | X | X | X |
| 5543 | CL | Calvert Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 4873 | U4 | CAPITAL GUARDIAN TRUST COMPANY | X | X | | | | | X | X |
| 5838 | 5P | CAPSTONE ASSET PLANNING CO./CAPSTONE CHURCH BOND F | X | X | | | | X | X | X |
| 4708 | | CHEVY CHASE BANK, FSB | X | | | | | | | |
| 4928 | XF | Citigroup Global Markets, Inc. | X | X | | | | | X | X |
| 5780 | \$ JI | Cohen & Steers Securities Inc. | X | X | | X | X | X | X | X |
| 5501 | CO | Columbia Management Distributor, Inc | X | X | Y | X | X | X | X | X |
| 5601 | F6 | Columbia Management Distributors, Inc/FUTURE SCHOLAR 529 | X | X | | | | X | | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5878 | UD | COMERCIA BANK | X | X | | | | | X | X |
| 4917 | DX | Conestoga Funds | X | X | | | | | X | |
| 5735 | \$ CT | Credit Suisse Asset Management Securities, Inc. | X | X | Y | X | X | X | X | X |
| 4890 | I8 | Davis Distributors, LLC/Davis Funds SICAV | X | X | Y | | X | X | | X |
| 5563 | \$ VT | Davis Distributors, LLC | X | X | Y | X | X | X | X | X |
| 5965 | 2J | DAVIS DISTRIBUTORS, LLC/CLIPPER FUND | X | X | | | X | X | X | |
| 5850 | \$ SZ | Davis Distributors, LLC/Selected | X | X | Y | X | X | X | X | X |
| 5863 | SO | DEAM Investor Services, Inc | X | X | Y | | | X | | X |
| 5510 | DE | Delaware Distributors, L.P. | X | X | Y | X | X | X | X | X |
| 6672 | 9C | Delaware Distributors, L.P./Optimum Funds | X | X | | | X | X | | |
| 6684 | \$ A9 | DFA Securities Inc. | X | X | | | | | X | X |
| 5483 | \$ 1U | Dodge & Cox Funds | X | X | | X | X | | X | X |
| 6615 | HW | Dreyfus Service Corp/Mellon Global Funds | X | X | | X | | X | | X |
| 6538 | GD | DREYFUS SERVICE CORPORATION/MELLOM BANK, N.A. (SMA | X | X | | | | | X | X |
| 6631 | CB | DSIL Investment Services LLC | X | X | Y | X | X | X | X | X |
| 6618 | \$ CA | Dupree & Company, Inc. | X | X | | | X | | X | |
| 5494 | \$ 3A | E*Trade Securities Inc. | X | X | Y | | | | X | |
| 5438 | KT | Eaton Vance Dist. Inc./Emerald Funds | X | X | | | X | X | X | X |
| 5760 | D1 | Eaton Vance Dist./U.S. Charitable Gift Trust | X | X | | | | X | | |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5509 | EV | Eaton Vance Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 5456 | 8R | Eaton Vance Distributors, Inc./Eaton Vance Trust Company | X | X | | | | | X | X |
| 4938 | NE | Eaton Vance Distributors, Inc./Eaton Vance Variable Trust Fund | | | | | | | | |
| 5877 | B8 | Electric City Funds, Inc. | X | X | | | | | | |
| 5584 | EN | Enterprise Fund Dist., Inc. | X | X | Y | X | X | X | X | X |
| 5872 | \$ ER | EVD/Wright Investor | X | X | | | X | | X | X |
| 5518 | KY | Evergreen Inv. Svcs., Inc. | X | X | Y | X | X | X | X | X |
| 4918 | YQ | FAM Distributors, Inc. | X | X | | | X | X | X | X |
| 5529 | \$ FI | Federated Securities Corp. | X | X | Y | X | X | X | X | X |
| 5722 | \$ QR | Federated Securities Corp/BBH Funds | X | X | Y | | | X | X | X |
| 5832 | IQ | Federated Securities Corp/Edgewood/Huntington Funds | X | X | | | | | | X |
| 5868 | \$ EW | Federated Services Company | X | X | Y | X | X | X | X | X |
| 5759 | IH | Federated/Federated Intl. | X | X | Y | X | X | X | | X |
| 6632 | \$ NL | Fenimore Asset Management Trust | X | X | | X | | X | X | X |
| 5785 | \$ OH | Fidelity Dist./Fidelity Intl. | X | X | | | X | X | X | X |
| 5894 | \$ ID | Fidelity Investments Inst. Svc. Co. | X | X | Y | X | X | X | X | X |
| 5713 | XS | Fidelity Investments/Destiny Plans | | X | | | | X | | |
| 6664 | \$ 9F | Fiduciary Management Inc. | X | X | | X | | | X | X |
| 5823 | NP | First Dominion Capital Corp. | X | X | | | | X | X | X |

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|-------|-------|---|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5545 | FV | First Investors Funds | X | X | Y | | | X | | X |
| 6575 | 8T | First Trust Portfolios, LP | X | X | | | | X | X | X |
| 6603 | 6B | First Trust Portfolios, LP/First Defined Portfolio | X | X | | | X | | X | X |
| 4695 | TI | FORESIDE DISTRIBUTION SERVICE, LP/UNION BANK OF CA | X | X | | | X | X | | X |
| 5621 | WA | FORESIDE DISTRIBUTION SERVICES LP / RMR FUND SERIE | X | X | | X | X | X | X | X |
| 5620 | V1 | FORESIDE DISTRIBUTION SERVICES LP/LOU HOLLAND GROW | X | X | | X | X | X | X | X |
| 5940 | 2S | FORESIDE DISTRIBUTION SERVICES, LP / OLD MUTUAL GL | X | X | | | X | X | | X |
| 5619 | S5 | FORESIDE DISTRIBUTION SERVICES, LP/GENDEX FUNDS | X | X | | X | | X | X | X |
| 5633 | XM | FORESIDE DISTRIBUTION SERVICES, LP/HORIZON GLOBAL | X | X | | | X | X | | |
| 4724 | 1D | FORESIDE DISTRIBUTION SERVICES, LP/IVA FIDUCIARY TRUST | | | | | | X | | |
| 6648 | YW | Foreside Distributor Services LP./EXETER TRUST COMPANY | X | X | | X | X | | X | X |
| 5825 | N8 | Foreside Distributor Services LP/Bjurman Funds | X | X | | X | X | X | X | X |
| 6622 | Q2 | Foreside Distributor Services LP/Boston Trust Mutual Funds | X | X | | X | X | X | X | X |
| 4961 | NQ | Foreside Distributor Services LP/CAPITAL ONE FUNDS | X | X | | X | X | X | X | X |
| 6586 | \$ GH | Foreside Distributor Services LP/First Funds | X | X | | X | X | X | X | X |
| 5694 | K2 | Foreside Distributor Services LP/NestEgg Funds | X | X | | | X | X | X | X |
| 4867 | \$ MQ | Foreside Distributor Services, LP/1st Source Monogram | X | X | | X | X | | X | X |
| 4834 | Y9 | Foreside Distributor Services, LP/ACTINVER BOND FUND, INC | X | X | | | X | X | X | X |
| 5807 | AF | Foreside Distributor Services, LP/American Performance Fund | X | X | | X | X | X | X | X |

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| 5816 | AO | Foreside Distributor Services, LP/Amsouth Funds | X | X | Y | X | X | X | X | X |
| 6641 | 8G | Foreside Distributor Services, LP/Asset Management Fund | X | X | | X | X | X | X | X |
| 4878 | G1 | Foreside Distributor Services, LP/Commonwealth Investment Series Trust | X | X | | X | | X | | X |
| 5814 | L8 | Foreside Distributor Services, LP/HSBC Investor Funds | X | X | | X | X | X | X | X |
| 6614 | 7C | Foreside Distributor Services, LP/IRT Mutual Funds | X | X | | | X | | X | X |
| 5658 | \$ QA | Foreside Distributor Services, LP/Pacific Capital Funds | X | X | | X | X | X | X | X |
| 5854 | \$ PZ | Foreside Distributor Services, LP/Performance Funds | X | X | | X | X | X | X | X |
| 4888 | HS | Foreside Distributor Services, LP/Permal Offshore Funds | X | X | | | X | X | X | X |
| 4921 | \$ UF | Foreside Distributor Services, LP/PNC Funds | X | X | | X | X | X | X | X |
| 4969 | \$ R4 | Foreside Distributor Services, LP/ProFunds | X | X | | X | X | X | X | X |
| 4920 | C5 | Foreside Distributor Services, LP/Ridge Worth Funds | X | X | | X | X | X | X | X |
| 6673 | LX | Foreside Distributor Services, LP/SIGNATURE LIQUIDITY FUND, | X | X | | | | X | | X |
| 5431 | J3 | Foreside Distributor Services, LP/SOLLERTIA FUNDS PLC | X | X | | | X | X | X | X |
| 6628 | 7O | Foreside Distributor Services, LP/US ALLIANTZ Mutual Funds | X | X | | X | X | X | X | X |
| 5686 | VC | Foreside Distributor Services, LP/Victory Funds | X | X | | X | X | X | X | X |
| 5723 | 5R | Foreside Distributor Services, LP/VICTORY INSTITUTIONAL FUND | X | X | | X | X | X | X | X |
| 5578 | \$ OU | Foreside Distributor Services, LP/Vintage Funds | X | X | | X | X | | X | X |
| 5426 | ND | FORESIDE FUND SERVICES LL/THE JAPAN FUND INC | X | X | | | X | X | X | X |
| 5485 | \$ 7J | Foreside Fund Services LLC/Bridgeway Funds | X | X | | X | | X | | X |

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| 5866 | G3 | Foreside Fund Services LLC/Henderson Horizon Funds | X | X | | | | X | | |
| 6574 | \$ 4J | Foreside Fund Services LLC/Hirtle Callaghan Trust | X | X | | X | X | | X | X |
| 5745 | \$ L7 | Foreside Fund Services LLC/Ironwood Series Trust | X | X | | | | | X | X |
| 5649 | \$ J5 | Foreside Fund Services LLC/Sound Shore Funds | X | X | Y | | X | | X | X |
| 5659 | BM | Foreside Fund Services, LLC | X | X | Y | | | | X | X |
| 5742 | \$ AA | Foreside Fund Services, LLC/American Beacon Funds | X | X | Y | X | X | X | X | X |
| 5597 | \$ LL | Foreside Fund Services, LLC/Century Funds | X | X | | | X | X | X | X |
| 5747 | QX | FORESIDE FUND SERVICES, LLC/PMC FUNDS | X | X | | | X | X | X | X |
| 6564 | BD | Foreside Fund Services, LLC/The CNL Funds | X | X | | | X | X | X | X |
| 5528 | RB | Foreside Fund Services. LLC/HENDERSON GLOBAL FDS | X | X | Y | X | X | X | X | |
| 4899 | 1W | FORESIDE FUNDS SERVICES, LLC/ABERDEEN FUNDS | | | | | | X | | |
| 5851 | FH | FPA Fund Distributors, Inc. | X | X | Y | X | X | | X | X |
| 5690 | TK | Franklin Templeton/SICAV Funds | X | X | | | X | X | | X |
| 5534 | TE | Franklin/Templeton Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 5594 | FG | Fred Alger & Co. Inc. | X | X | Y | | X | X | X | X |
| 4950 | E6 | Fred Alger & Co./Alger SICAV | X | X | | | X | X | | X |
| 4978 | \$ C7 | Friess Associates Inc.(Brandywine) | X | X | | X | | X | X | X |
| 5507 | QZ | Frontegra Funds, Inc. | X | X | | X | X | X | X | X |
| 5497 | \$ RT | Funds Distributor Inc. | | | | | | | X | X |

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| 5980 | ZZ | FUNDS DISTRIBUTORS LLC/ALLSTATE FINANCIAL INVESTME | X | X | | X | X | X | X | X |
| 5574 | \$ GN | Gabelli and Company/Gabelli Funds-(NFDS) | X | X | Y | X | X | X | X | X |
| 5679 | GM | GE Investment Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 5514 | \$ i7 | Glickenhau & Co./Empire Builder Funds | X | X | | | | | X | |
| 6680 | AK | GMO Trust Funds/FDI | X | X | | | | | X | X |
| 5572 | GS | Goldman Sachs & Co. | X | X | Y | X | X | X | X | X |
| 6570 | 4G | Goldman,Sachs & Co./International | X | X | | | X | X | | X |
| 5799 | GU | Goldman/Commerce Funds | X | X | | X | | X | X | X |
| 5573 | GI | Guardian Investor Services LLC | X | X | Y | X | X | X | X | X |
| 4856 | IX | Hartford Investment Financial Svs. Co. | X | X | | X | X | X | X | X |
| 5892 | G8 | Hartford Investment Fund Services / 529 Plan | X | X | | | | X | | |
| 5471 | \$ 1A | HCA Securities/Harbor Funds | X | X | Y | | X | X | X | X |
| 5822 | HE | Heritage Funds/Raymond James | X | X | | X | X | X | X | X |
| 6588 | \$ 6N | Hewitt Services LLC | X | X | | | | | X | X |
| 6553 | RO | HOMESTEAD FUNDS, INC. | X | X | | | | | | X |
| 4942 | \$ TT | ICMA-RC Services LLC | X | X | Y | | X | | X | X |
| 5549 | \$ I5 | Icon Distributors, Inc. | X | X | | X | X | X | X | X |
| 5684 | \$ J8 | IFS Fund Dist./Caldwell&Orkin | X | X | | | | | | |
| 5613 | Q3 | IFS Fund Dist./James Advantage | X | X | | X | | X | X | X |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5791 | VF | IFS Fund Distributors Inc./MMA Praxis Funds | X | X | | X | X | X | X | X |
| 4953 | \$ C8 | IFS Fund Distributors, Inc. | X | X | Y | | X | X | X | X |
| 5486 | CS | IFS FUND DISTRIBUTORS, INC./MARKMAN MULTIFUND TRUS | X | X | | | | X | | |
| 5710 | \$ XH | IFS Fund Distributors, Inc./Navellier Funds | X | X | | | | X | X | |
| 5654 | US | Industry Leaders Fund | X | X | | | | | X | |
| 5859 | | ING FUNDS DISTRIBUTOR, LLC / ING IODC PRODUCTS | | | | | | | | |
| 5473 | O7 | ING FUNDS DISTRIBUTORS, LLC/ING OFFSHORE | X | X | | | | | | |
| 5734 | PF | ING Pilgrim Securities, Inc. | X | X | Y | X | X | X | X | X |
| 5657 | NH | Integrity Funds Distributor, Inc. | X | X | | | | X | X | |
| 5591 | AR | International Strategy & Investment Group Inc. | X | X | Y | X | X | X | X | X |
| 5450 | W6 | INVESCOAIM Dist./Fund Mgmt. Co. | X | X | | | X | X | X | X |
| 4882 | VY | InvescoAim Distributors Inc. /INVESCOAIM Global Advisors | X | X | | | X | X | X | X |
| 6592 | KC | INVESCOAIM Distributors Inc./Invesco Offshore Funds | X | X | | | | X | X | X |
| 6617 | QY | INVESCOAIM DISTRIBUTORS INC./INVESCOAIM GLOBAL | X | X | | | | | | |
| 5540 | AI | InvescoAim Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 5890 | \$ BJ | Investor Service Center/Midas Funds | X | X | Y | X | X | | X | X |
| 4946 | ZY | INVESTORS BANK & TRUST COMPANY | X | X | | | | | | |
| 6522 | GG | Jackson National Life Distributors, LLC | X | X | Y | | X | X | X | X |
| 5781 | \$ JE | Janus Distributors LLC | X | X | Y | X | X | X | X | X |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5467 | Z4 | Janus Distributors, LLC/Janus Capital International Limited | X | X | | | X | X | X | X |
| 5582 | HF | John Hancock Funds, LLC | X | X | Y | X | X | X | X | X |
| 5599 | HD | JPMorgan Distribution Services, Inc. | X | X | Y | X | X | X | X | X |
| 5798 | \$ JP | JPMorgan Distribution Services, Inc./JPMorgan Offshore Funds | X | X | Y | X | X | X | X | X |
| 5716 | \$ UR | Kalmar Pooled Investment Trust | X | X | | | X | X | X | X |
| 5661 | KL | Keeley Investment Corp. | X | X | Y | X | | X | X | X |
| 6585 | \$ 4Y | Kelmoore Investment Co.,Inc. | X | X | | X | X | X | X | X |
| 5608 | 5A | KEY BANK NA | X | X | | | | | X | X |
| 4850 | \$ IY | Lazard Freres & Co., LLC | X | X | Y | | X | X | X | X |
| 5417 | XD | LAZARD FRERES & CO., LLC/LAZARD GLOBAL ACTIVE FUND | X | X | | | X | X | | X |
| 5570 | YF | Legg Mason Investor Services LLC/529 PLANS | X | X | | | | X | | X |
| 5480 | \$ 1Q | Legg Mason Investor Services LLC/Barrett Growth Fund | X | X | | X | X | X | | X |
| 5834 | SK | Legg Mason Investor Services LLC/CitiFunds | X | X | Y | | X | X | X | X |
| 5831 | IC | Legg Mason Investor Services LLC/CitiTrust Offshore | X | X | Y | | | X | | X |
| 5833 | O1 | Legg Mason Investor Services LLC/Legg Mason Global Funds PLC | X | X | | | | X | | X |
| 5652 | \$ L5 | Legg Mason Investor Services LLC/LM Institutional Fund Advisors | X | X | | X | X | X | X | X |
| 5673 | IU | Legg Mason Investor Services LLC/LM Partners Funds | X | X | Y | | X | X | X | X |
| 5749 | LK | Legg Mason Investor Services, LLC | X | X | Y | X | | X | X | X |
| 5630 | JX | LEGG MASON INVESTOR SERVICES, LLC/LEGG MASON COLLE | X | X | | | | | X | |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5862 | TO | Lepercq, De Neuflize/Tocqueville Securities LP | X | X | | X | X | X | X | X |
| 6514 | 4W | Lifetime Achievement Fund, Inc. | X | X | | | | X | X | X |
| 4863 | \$ KM | Longleaf Partners Funds | X | X | | | X | | X | X |
| 5451 | | LORD ABBETT DISTRIBUTOR LLC/CF LORD ABBETT INVESTM | X | | | | | | | |
| 6636 | 8N | Lord Asset/Thomas White Family of Funds | X | X | | X | | | X | |
| 5500 | LA | Lord, Abbett Distributor | X | X | Y | X | X | X | X | X |
| 5672 | IN | Loring Ward Securities Inc. | X | X | | X | X | X | | |
| 5425 | \$ RV | M Fund Inc. | X | X | | | X | | | X |
| 6577 | \$ 6O | Madison Mosaic L.L.C. | X | X | Y | X | X | | X | X |
| 5753 | 7K | Manning and Napier Investor Services, Inc. | X | X | | X | X | X | X | X |
| 6606 | \$ 6D | Matrix Capital Group Inc./AMIDEX Funds | X | X | | | | | | X |
| 6607 | QB | MATRIX CAPITAL GROUP INC./CATALYST #2 | X | X | | | | | | |
| 5527 | T3 | Matrix Capital Group Inc./Catalyst Funds | X | X | | | | | | X |
| 5637 | 0G | Matrix Capital Group Inc./Congressional Effect Fund | | | | | | | | |
| 5681 | NT | MATRIX CAPITAL GROUP, INC. | X | X | | | | | | X |
| 6634 | GO | Matrix Capital Group, Inc./Epiphany Funds | X | X | | | | | | X |
| 6637 | \$ EM | Matthew 25 Fund, Inc. | X | | | | | | X | |
| 5565 | OX | MB Funds/SEI | | | | | | | | |
| 5523 | DR | MBSC SECURITIES CORPORATION | X | X | Y | X | X | X | X | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5715 | EK | Medallion Funds/Eaton Vance | X | X | | | | X | X | X |
| 6558 | \$ 3J | Mellon Funds Distributors, L.P. | X | X | | X | | | X | X |
| 4987 | M1 | Members Mutual Funds/CUNA | X | X | | X | X | X | X | X |
| 5516 | MF | MFS Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 6605 | JN | MFS Heritage Trust Company | X | X | | | | | X | X |
| 5840 | ME | MFS International Funds | X | X | | | | X | | X |
| 5846 | SN | MFS/Meridian Distributors, Inc. | X | X | | | X | X | X | X |
| 7200 | | Monarch Funds | | | | | | | | X |
| 4910 | XP | Morgan Keegan & Co., Inc.(Regions Funds) | X | X | | | | X | X | X |
| 5512 | WR | Morgan Stanley & CO. Inc./Universal Instl., Inc. | X | X | | | X | X | X | X |
| 5857 | MV | Morgan Stanley & Co., Inc. | X | X | Y | X | X | X | X | X |
| 6646 | LG | MORGAN STANLEY & CO., INC./DUBLIN LIQUIDITY | X | X | | | | | | |
| 4970 | 1J | Morgan Stanley & Co., Incorporated/Advisor Funds | X | X | | | X | X | X | X |
| 4944 | YB | Morgan Stanley & Company Inc./Morgan Stanley SICAV | X | X | Y | | | X | X | X |
| 5617 | LP | Munder Funds/FDI | X | X | Y | X | X | X | X | X |
| 4902 | FL | MUNDOVAL FUNDS | X | X | | | | | | |
| 6559 | \$ 3O | Nationwide Fund Distributors, LLC | X | X | | X | | X | X | X |
| 5794 | M6 | Nationwide Fund Distributors, LLC/Gartmore SICAV | X | X | | | | X | | |
| 6678 | YX | NATIXIS DISTRIBUTORS LP/ Dublin Funds | X | X | | | X | X | | |

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|-------|--------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5714 | \$ LC | Natixis Distributors, L.P./Loomis Sayles Funds | X | X | Y | | X | X | X | X |
| 5542 | TN | Natixis Distributors, LP | X | X | Y | X | X | X | X | X |
| 5871 | RZ | Natixis Distributors, LP/Delafield Fund | X | X | Y | | X | X | X | X |
| 6677 | YU | NATIXIS DISTRIBUTORS, LP/NATIXIS Intl Lux Funds | X | X | | | X | X | | X |
| 5587 | \$ H6 | Needham & Company, LLC | X | X | Y | | X | X | X | X |
| 5880 | MA | NELNET CAPITAL, LLC | X | X | | | | | | |
| 5810 | \$ NB | Neuberger & Berman Mgmt., Inc. | X | X | | X | | | X | X |
| 5665 | WK | Neuberger & Berman/AMT Funds | X | X | | | | | X | |
| 6681 | LD | NEUBERGER BERMAN MANAGEMENT INC./LEHMAN BROTHERS A | X | X | | | | X | | |
| 5590 | \$ T1 | Nicholas Company, Inc | X | X | | X | X | X | X | X |
| 6590 | \$ 5FT | Nicholas-Applegate Securities | X | X | | | X | X | X | X |
| 5821 | \$ 4N | Northeast Investors Growth Fund | X | X | | | X | | X | X |
| 5778 | \$ XQ | Northeast Investors Trust | X | X | | | X | | X | X |
| 5941 | 4S | NORTHERN LIGHTS DISTRIBUTORS, LLC / GENERATIONS MULTI-STRATEGY FUND | | | | | X | X | | |
| 5130 | 3F | NORTHERN LIGHTS DISTRIBUTORS, LLC / MUTUALHEDGE FUND | | | | | X | X | | |
| 5942 | 0V | NORTHERN LIGHTS DISTRIBUTORS, LLC OXFORD GLOBAL TO | | | | | X | X | | |
| 6668 | KG | Northern Lights Distributors, LLC/EM Capital Management | X | X | | X | X | X | X | X |
| 5853 | NS | Northern Lights Distributors, LLC/First Focus Funds | X | X | | | X | X | X | |
| 6689 | PU | NORTHERN TRUST COMPANY/GREAT BANC COLLECTIVE INVES | X | X | | | | | X | X |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5520 | NU | Nuveen Investments | X | X | Y | X | X | X | X | X |
| 4828 | LW | NUVEEN INVESTMENTS, LLC/DUBLIN FUNDS | X | X | | | | | | X |
| 5539 | NY | NYLife Securities (Mainstay Funds) | X | X | | X | X | X | X | X |
| 5787 | | NYLIFE Securities Inc./Collective Trust | X | | | | | | X | |
| 5761 | \$ O5 | Oak Associate Funds/SEI | X | X | | X | X | X | X | X |
| 4851 | \$ XI | Oakmark Fund (The) | X | X | Y | X | X | | X | X |
| 5697 | \$ OW | Oberweis Securities, Inc. | X | X | Y | | X | X | X | X |
| 5775 | OS | Olstein & Associates, L.P. | X | X | | X | | X | X | X |
| 5844 | \$ 3P | Oppenheimer Funds Dist.,Inc./Mass Mutual Institutional Funds | X | X | | | X | X | X | X |
| 5707 | H9 | Oppenheimer Millennium Funds | X | X | | | | X | | X |
| 5470 | AN | OppenheimerFunds Distributor Inc./Oregon 529 Product | X | X | | | | X | | X |
| 5511 | OP | OppenheimerFunds Distributor, Inc. | X | X | Y | X | X | X | X | X |
| 4941 | N4 | OppenheimerFunds Distributor, Inc./New Mexico 529 Plan | X | X | | | | X | | |
| 4860 | \$ CM | Pacific Global Fund Inc. | X | X | | | X | X | X | X |
| 5478 | PA | Pacific Select Distributors, Inc. | X | X | Y | X | X | X | X | X |
| 5783 | TU | Parnassus Investments | X | X | | | X | | X | |
| 5535 | \$ QV | Payden & Rygel Distributors | X | X | | | X | | X | X |
| 4858 | CR | PAYDEN & RYSEL DISTRIBUTORS/PAYDEN/WILSHIRE LONGEV | X | X | | | X | | X | X |
| 4999 | DO | PENNSYLVANIA AVENUE FUNDS | X | X | | | | | | |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5602 | G4 | PERRITT MICROCAP OPPORTUNITIES FUND, INC | X | X | | X | X | | X | X |
| 5537 | \$ OM | PFPC Dist./ Van Wagoner Funds | X | X | | | X | X | X | X |
| 6619 | 7D | PFPC Dist./CRM Funds | X | X | Y | | X | X | X | X |
| 5436 | \$ V2 | PFPC Dist./First Choice Funds | | | | | | | | X |
| 5724 | \$ VJ | PFPC Dist./Matthews Intl. Fund | X | X | | X | X | X | X | X |
| 6644 | \$ 8Q | PFPC Dist./Metropolitan West Funds | X | X | | X | X | X | X | X |
| 6633 | \$ 7T | PFPC Dist./Northern Trust Funds | X | X | | X | X | | X | X |
| 5496 | QK | PFPC Dist./Polynous Trust | | | | | | | | X |
| 5808 | CE | PFPC Dist./RBB Funds | X | X | Y | X | X | X | X | X |
| 5448 | W4 | PFPC Dist./Stratton Mutual Funds | X | X | | X | X | | X | X |
| 6554 | \$ 3G | PFPC Dist./Torrays Funds | X | X | | | X | | X | X |
| 5550 | RS | PFPC Dist./WT Funds | X | X | Y | | X | X | X | X |
| 6571 | BX | PFPC Dist./Advantage Advisers Multi Fd. | X | X | Y | | X | X | X | X |
| 5699 | \$ VM | PFPC Dist./Aston Funds | X | X | Y | | X | X | X | X |
| 6580 | 4Q | PFPC Dist./Senbanc Funds | X | X | Y | | X | X | X | X |
| 5800 | WQ | PFPC DISTR INC/Robeco Investment Funds | X | X | Y | X | X | X | X | X |
| 5818 | XC | PFPC DISTR. INC/BOGLE INVESTMENT MANAGEMENT | X | X | Y | X | X | X | X | X |
| 5856 | WO | PFPC DISTR. INC/SCHNEIDER SMALL CAP VALUE FD | X | X | Y | X | X | X | X | X |
| 5879 | \$ GC | PFPC Distributors Inc./Allegiant Funds | X | X | | X | X | X | X | X |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5547 | I2 | PFPC Distributors Inc./First Puerto Rico Growth and Income Fund | X | X | | | X | X | X | X |
| 5979 | FQ | PFPC DISTRIBUTORS INC./HIGHLAND FUNDS | X | X | | | X | X | X | X |
| 5842 | E4 | PFPC Distributors Inc./SPARX FUNDS TRUST | X | X | | | X | X | X | X |
| 5789 | C4 | PFPC DISTRIBUTORS INC./STERLING CAPITAL SMALL CAP | X | X | | | X | | X | |
| 6505 | 1H | PFPC DISTRIBUTORS, INC./ INDEX IQ TRUST | | | | | X | | | |
| 5947 | RR | PFPC DISTRIBUTORS, INC./ABUNDANCE TECHNOLOGIES INC | X | X | | | | | | X |
| 5861 | \$ NC | PFPC Distributors, Inc./AFBA Five Star Fund | X | X | | X | X | X | X | X |
| 5427 | \$ S3 | PFPC Distributors, Inc./Atlantic Whitehall Funds | X | X | | | X | | X | X |
| 6596 | HT | PFPC Distributors, Inc./Bearm Stearns Ultra Short Term Incom Fund/RBB | X | X | | | | | | X |
| 6549 | QQ | PFPC DISTRIBUTORS, INC./BHR INSTITUTIONAL FUNDS | X | X | | X | X | | X | X |
| 4954 | OZ | PFPC DISTRIBUTORS, INC./BLACKROCK OFFSHORE BOND AL | X | X | | | | X | | X |
| 5422 | AX | PFPC Distributors, Inc./Consulting Group Capital Markets Fund | X | X | | | X | X | X | |
| 6579 | \$ 7Z | PFPC Distributors, Inc./E.I.I. Realty Securities Trust | X | X | | | X | | X | |
| 5611 | K3 | PFPC DISTRIBUTORS, INC./FUNDVANTAGE TRUST | X | X | | X | X | X | X | X |
| 5782 | X2 | PFPC Distributors, Inc./GuideStone Funds | X | X | | | | | X | |
| 5820 | SF | PFPC Distributors, Inc./HighMark Funds | X | X | | X | | X | X | X |
| 6679 | KH | PFPC DISTRIBUTORS, INC./MARVIN & PALMER LARGE CAP | X | X | | | | | X | |
| 5725 | ZU | PFPC DISTRIBUTORS, INC./MGI FUNDS | X | X | | X | X | X | X | X |
| 5651 | UB | PFPC Distributors, Inc/Old Westbury Funds, Inc. | X | X | | | X | X | X | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5648 | \$ WN | PFPC Distributors, Inc./BB&T Funds Group | X | X | | X | X | X | X | X |
| 5739 | \$ UT | Philadelphia Fund, Inc. | X | X | | | | | | |
| 5517 | PH | Phoenix Equity Planning Corporation | X | X | | X | X | X | X | X |
| 4925 | IO | Pinnacle Investments, Inc. | X | X | | | | X | X | |
| 5502 | PR | Pioneer Investment Management USA Inc. | X | X | Y | X | X | X | X | X |
| 5743 | N5 | Pioneer Investment Management USA Inc/Pioneer Funds International | X | X | | | X | X | X | X |
| 5687 | O2 | Popular Securities, Inc. | X | X | | | X | X | | X |
| 5836 | \$ GW | Principal Financial Service Corp/Principle Funds | X | X | | X | X | X | X | X |
| 5212 | 3L | PRINCOR FINANCIAL SERVICES CORP/PGI | X | X | | | | | | |
| 5546 | PC | Princor Financial Svcs. Corp. | X | X | | | X | X | X | X |
| 6620 | 7E | Provident Dist./Roxbury Capital | X | X | Y | | X | X | X | X |
| 4907 | \$ VX | Prudent Bear Fund Inc. | X | X | Y | X | | X | X | X |
| 4968 | SM | PRUDENTIAL INSURANCE COMPANY OF AMERICA | X | | | | | X | X | X |
| 5805 | PM | Prudential Invest. Mgmt. Svcs., LLC | X | X | Y | X | X | X | X | X |
| 5746 | 6Y | Prudential Investment Management Svcs., LLC/Worldwide Investment Portfolio Funds | X | X | | | | X | | X |
| 5505 | PT | Putnam Retail Management Limited Partnership | X | X | Y | X | X | X | X | X |
| 5893 | PV | Putnam Retail Management Limited Partnership/Embassy Funds | X | X | | | X | X | X | X |
| 6685 | \$ BO | Quasar Distributors LLC/Kirr Marbach Partners Fund Inc. | X | X | | X | X | X | X | |
| 5887 | 4C | QUASAR DISTRIBUTORS LLC / FORT PITT CAPITAL FUNDS | X | X | | X | X | | X | |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5614 | VV | Quasar Distributors LLC/ActivePassive Funds | X | X | | X | X | X | X | |
| 5946 | U3 | QUASAR DISTRIBUTORS LLC/ASCENTIA ALTERNATIVE STRAT | X | X | | X | X | X | X | |
| 5421 | GZ | Quasar Distributors LLC/Cookson Peirce Funds | X | X | | | | | | |
| 5561 | HY | Quasar Distributors LLC/Empiric Funds | X | X | | X | X | X | X | |
| 5125 | Q7 | QUASAR DISTRIBUTORS LLC/FUSION FUNDS | X | X | | X | X | | X | |
| 6513 | 4V | Quasar Distributors LLC/Greenspring Fund | X | X | | X | X | | X | |
| 4984 | V9 | Quasar Distributors LLC/Hotchkins & Wiley Funds | X | X | | X | X | X | X | X |
| 4960 | 8K | QUASAR DISTRIBUTORS LLC/JACOB INTERNET FUND | X | X | | X | X | | X | |
| 6639 | 8E | Quasar Distributors LLC/Kensington Funds | X | X | | X | X | X | X | X |
| 5804 | DU | Quasar Distributors LLC/Leader Short Term Bond Fund | X | X | | X | X | X | X | |
| 5445 | \$ 4I | Quasar Distributors LLC/Light Revolution Funds | X | X | | | X | X | | X |
| 5766 | \$ QN | Quasar Distributors LLC/Monetta Funds | X | X | | X | X | X | X | X |
| 6536 | VZ | Quasar Distributors LLC/New Covenant Funds | X | X | | X | X | X | X | X |
| 6547 | Q9 | Quasar Distributors LLC/Phocus Funds | X | X | | | X | X | X | |
| 5901 | NA | QUASAR DISTRIBUTORS LLC/PROSPECTOR FUNDS | X | X | | X | X | X | X | |
| 5744 | 3Q | QUASAR DISTRIBUTORS LLC/STEPHENS FUNDS | X | X | | X | X | X | X | |
| 5557 | \$ A3 | Quasar Distributors LLC/Thompson Plumb Funds | X | X | | X | | | X | X |
| 5588 | J2 | QUASAR DISTRIBUTORS LLC/US BANK STABLE ASSET FUND | X | X | | | X | X | X | X |
| 4874 | F2 | Quasar Distributors LLC/WY Funds | X | X | Y | X | X | X | X | X |

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|-------|-------|------|---|-------|-----------------|------|--------------|------------------|-------|-----|
| 5774 | \$ | YC | Quasar Distributors LLC/Yacktman Funds | X | X | | X | X | X | X |
| 5869 | | SV | Quasar Distributors, LLC | X | X | Y | X | X | X | X |
| 6530 | | EE | QUASAR DISTRIBUTORS, LLC / HUBER CAPITAL FUNDS | X | X | | X | X | X | |
| 4723 | | 0E | QUASAR DISTRIBUTORS, LLC / SCHOONER GROWTH & INCOM | | | | X | X | | |
| 5519 | | 0Q | QUASAR DISTRIBUTORS, LLC /GENWORTH VIT FUNDS | | | | X | X | | |
| 5126 | | 0Y | QUASAR DISTRIBUTORS, LLC /GRUBB & ELLIS AGA REALTY | | | | X | X | | |
| 4908 | | EI | Quasar Distributors, LLC/ Matrix Advisors Value Fund | X | X | | X | | X | X |
| 4957 | | EX | Quasar Distributors, LLC/Aberdeen Funds | X | X | | | | | X |
| 6521 | | HG | QUASAR DISTRIBUTORS, LLC/ABERDEEN SHY FUNDS | X | X | | | | | |
| 5612 | | VK | QUASAR DISTRIBUTORS, LLC/ACADEMY FUND TRUST | X | X | | X | X | X | |
| 5449 | \$ | NJ | Quasar Distributors, LLC/AIP Alternate Strategies Fund | X | X | | X | | X | |
| 5474 | | ZC | Quasar Distributors, LLC/Akros Absolute Return Fund | X | X | | X | X | X | |
| 4993 | | Q8 | Quasar Distributors, LLC/AI Frank Fund | X | X | | X | | X | X |
| 5896 | \$ | P5 | Quasar Distributors, LLC/Alpine Funds | X | X | | X | X | X | X |
| 6638 | \$ | 8D | Quasar Distributors, LLC/American Trust Allegiance Fund | X | X | | X | | X | X |
| 4935 | | 8W | QUASAR DISTRIBUTORS, LLC/APPLETON GROUP FUNDS | X | X | | X | X | X | |
| 5495 | \$ | J4 | Quasar Distributors, LLC/AssetMark Funds | X | X | | X | | X | X |
| 5693 | \$ | 8B | Quasar Distributors, LLC/Brandes Investment Trust | X | X | Y | X | | X | X |
| 5616 | | S2 | Quasar Distributors, LLC/Brazos Mutual Funds | X | X | | X | X | X | X |

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|-------|-------|---|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5531 | JV | QUASAR DISTRIBUTORS, LLC/BRIDGES INVESTMENT FD | X | X | | X | X | | X | |
| 5629 | WW | QUASAR DISTRIBUTORS, LLC/BRISTLECONE FUND | X | X | | X | X | X | X | |
| 4931 | \$ 5W | Quasar Distributors, LLC/Buffalo Funds | X | X | | X | X | X | X | X |
| 5691 | \$ VW | Quasar Distributors, LLC/Capital Advisors Growth Fund | X | X | | X | X | | | X |
| 5626 | \$ TA | Quasar Distributors, LLC/Chase Growth Fund | X | X | | X | X | X | X | X |
| 4958 | OB | Quasar Distributors, LLC/Counterpoint Select Portfolio | X | X | | X | X | | X | |
| 4922 | LU | Quasar Distributors, LLC/Country Mutual Funds, Inc | X | X | | X | X | X | X | X |
| 5466 | \$ O3 | Quasar Distributors, LLC/Cullen Funds | X | X | | X | X | X | X | X |
| 4857 | RE | QUASAR DISTRIBUTORS, LLC/DORFMAN FUNDS | X | X | | X | X | | X | |
| 5566 | \$ FP | Quasar Distributors, LLC/Dow Jones Islamic Index Fund | X | X | | X | X | | X | X |
| 6567 | \$ 3X | Quasar Distributors, LLC/Duncan Hurst Agressive Growth Fund | X | X | | X | X | | X | |
| 5727 | \$ VU | Quasar Distributors, LLC/Edgar Lomax Fund | X | X | | X | X | | X | |
| 4870 | \$ D4 | Quasar Distributors, LLC/Fairholme Funds | X | X | Y | X | X | X | X | X |
| 5429 | 3B | QUASAR DISTRIBUTORS, LLC/FIMCO SELECT FUND | X | X | | X | X | | X | |
| 5666 | \$ W1 | Quasar Distributors, LLC/Fund X Upgrader Fund | X | X | | X | X | | X | X |
| 6527 | C9 | QUASAR DISTRIBUTORS, LLC/GENEVA ADVISORS ALL CAP G | X | X | | X | X | X | X | |
| 5864 | JH | Quasar Distributors, LLC/Genworth Funds | X | X | | | | | | |
| 4955 | \$ D5 | Quasar Distributors, LLC/Glenmede Fund, Inc. | X | X | | | X | X | X | X |
| 5754 | \$ OC | Quasar Distributors, LLC/Guinness Atkinson Funds | X | X | | X | X | X | X | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 4981 | \$ D2 | Quasar Distributors, LLC/Harding Loevner Funds Inc. | X | X | | | X | | X | |
| 4869 | \$ JT | Quasar Distributors, LLC/Hennessy Mutual Funds | X | X | | X | X | | X | X |
| 5792 | QF | Quasar Distributors, LLC/Hodges Funds | X | X | Y | X | X | X | X | X |
| 4815 | HZ | QUASAR DISTRIBUTORS, LLC/INTREPID CAPITAL FUNDS | X | X | | X | X | X | X | |
| 5755 | \$ GQ | Quasar Distributors, LLC/Investec Offshore Funds | X | X | | | | X | | X |
| 5491 | \$ MT | Quasar Distributors, LLC/Jensen Portfolio Inc. | X | X | | X | X | X | X | X |
| 5698 | AY | QUASAR DISTRIBUTORS, LLC/JULIUS BAER OFFSHORE FUND | X | X | | | | | | X |
| 5848 | V5 | Quasar Distributors, LLC/Keystone Funds | X | X | | X | X | X | X | X |
| 6608 | \$ 6A | Quasar Distributors, LLC/Kinetics Fund | X | X | | X | X | X | X | X |
| 4883 | \$ LY | Quasar Distributors, LLC/LKCM Funds | X | X | | X | X | X | X | X |
| 6546 | RW | Quasar Distributors, LLC/Mairs and Power Growth Fund | X | X | | X | X | | X | |
| 6529 | D8 | QUASAR DISTRIBUTORS, LLC/MARKETFIELD FUNDS | X | X | | X | X | | X | |
| 4892 | \$ ZN | Quasar Distributors, LLC/Masters Select Funds | X | X | | X | X | | X | X |
| 5776 | \$ VO | Quasar Distributors, LLC/McCarthy Funds | X | X | | | X | | X | |
| 4986 | \$ D6 | Quasar Distributors, LLC/Muhlenkamp Funds | X | X | | X | | | X | X |
| 5847 | \$ NG | Quasar Distributors, LLC/Mutuals.com | X | X | | X | X | X | X | X |
| 4840 | \$ 6M | Quasar Distributors, LLC/Osterweis Fund | X | X | | X | X | | X | |
| 5440 | \$ U7 | Quasar Distributors, LLC/Perkins Funds | X | X | | X | X | X | X | |
| 6627 | \$ 3N | Quasar Distributors, LLC/Permanent Portfolio | X | X | | X | X | | X | |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5819 | \$ FK | Quasar Distributors, LLC/PIC Investment Trust | X | X | Y | X | X | X | X | X |
| 5793 | YO | QUASAR DISTRIBUTORS, LLC/PICTET FUNDS | X | | | | | | | X |
| 4929 | \$ C1 | Quasar Distributors, LLC/Portfolio 21 | X | X | | X | X | | X | X |
| 5858 | W9 | QUASAR DISTRIBUTORS, LLC/PRIMARY TREND FUND | X | X | | X | X | | X | |
| 4895 | MD | QUASAR DISTRIBUTORS, LLC/PRIME CAP ODYSSEY FUNDS | X | X | | X | X | | X | |
| 6643 | \$ 8H | Quasar Distributors, LLC/Purisma Funds | X | X | | X | X | | X | |
| 4885 | \$ XB | Quasar Distributors, LLC/Quaker Investment Trust | X | X | Y | X | X | X | X | X |
| 4876 | \$ QL | Quasar Distributors, LLC/Rainier Funds | X | X | | X | X | X | X | X |
| 6548 | Q4 | Quasar Distributors, LLC/Rigel Capital Funds | X | X | | X | X | | X | |
| 4990 | E1 | Quasar Distributors, LLC/Rochdale Funds | X | X | | X | X | | | X |
| 6528 | DJ | QUASAR DISTRIBUTORS, LLC/SEASCAPE FUNDS | X | X | | X | X | X | X | |
| 5729 | 5N | QUASAR DISTRIBUTORS, LLC/SELECTOR MANAGEMENT FUND | X | X | | | | | | |
| 6686 | SR | QUASAR DISTRIBUTORS, LLC/SMEAD VALUE FUND | X | X | | X | X | | X | |
| 4976 | Y8 | Quasar Distributors, LLC/Snow Capital Opportunity Fund | X | X | | X | X | X | X | X |
| 4898 | MZ | QUASAR DISTRIBUTORS, LLC/TCM SMALL CAP GROWTH FUND | | X | | X | X | | X | |
| 4916 | M5 | QUASAR DISTRIBUTORS, LLC/TEBERG FUND | X | X | | X | X | | X | |
| 4886 | CN | Quasar Distributors, LLC/Villere Balanced Fund | X | X | | | X | | X | |
| 6674 | LN | Quasar Distributors, LLC/WisCap Funds | X | X | | X | X | X | X | |
| 6675 | 9Z | Quasar Distributors./ARTIO GLOBAL FUND | X | X | | X | X | X | X | X |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 4884 | KE | QUEENS ROAD SECURITIES, LLC | X | X | | | | | | |
| 5726 | \$ K6 | Rafferty Cap/Direxion Funds | X | X | | X | X | X | X | X |
| 6612 | \$ 8M | Rafferty Capital Markets, LLC/Aegis Funds | X | X | | | | | X | |
| 5899 | \$ 1V | Rafferty Capital Markets, LLC/Berkshire Funds | X | X | | | | | X | |
| 5656 | \$ KK | Rafferty Capital Markets, LLC/Leuthold Funds | X | X | | X | X | | X | X |
| 6682 | \$ AT | Rafferty Capital Markets, LLC/Medical Specialist Funds | X | X | | X | | | X | |
| 4896 | 6I | RELIANCE TRUST COMPANY | X | X | | | | | X | X |
| 5454 | \$ X3 | Renaissance Capital Greenwich Funds | X | X | | | X | X | | X |
| 5720 | \$ RP | Reserve Partners, Inc. | X | X | | | X | | X | |
| 6573 | \$ 2I | Reynolds Funds | X | X | | X | | | X | X |
| 4989 | \$ E3 | RFS Partners, California Investment Trust Fund Group | X | X | | | | | X | X |
| 4905 | \$ EF | Robert W, Baird & Co. | X | X | | X | | X | X | X |
| 5663 | \$ QU | Royce Fund Services, Inc. | X | X | Y | X | X | X | X | X |
| 5762 | \$ RM | Russell Fund Distributors, Inc. | X | X | | | X | X | X | X |
| 5964 | | RUSSELL TRUST COMPANY | X | | | | | | | |
| 4912 | \$ YD | Rydex Distributors, Inc. | X | X | | | X | X | X | X |
| 4983 | VI | Saturna Brokerage Services, Inc. | X | X | | | | | X | |
| 4853 | P3 | Schroder Fund Advisors Inc./International Division | X | X | | | X | X | | X |
| 4956 | \$ A6 | Schroder Fund Advisors, Inc. | X | X | | | X | X | X | X |

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|-------|-------|--|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 6578 | \$ 5G | Securities Management and Research Inc. | X | X | | | | | | X |
| 5898 | SP | Security Distributors, Inc. | X | X | | | | X | X | X |
| 5717 | \$ IL | SEI Institutional/SEI | X | X | | | X | X | X | X |
| 5592 | \$ PN | SEI Investments Dist. Co./Turner Funds | X | X | Y | X | X | | X | X |
| 5995 | MR | SEI Investments Dist.Co./Advisors Inner Circle II | X | X | | X | | | X | X |
| 4992 | \$ G2 | SEI Investments Distribution Co./Accessor Funds | X | X | Y | | | | X | X |
| 5532 | NO | SEI INVESTMENTS DISTRIBUTION CO./ADVISORS INNER CIRCLE,UMBTA Funds | X | X | | | X | X | X | X |
| 5748 | K8 | SEI Investments Distribution Co./CRA Funds | X | X | Y | | X | X | X | X |
| 6555 | \$ 4D | SEI Investments Distribution Co./MIP/BGI Funds | X | X | | | | X | X | X |
| 5876 | Q6 | SEI Investments Distribution Co./Optique Funds | X | X | | | | X | X | |
| 6539 | KQ | SEI Investments Distribution Co./TD ASSET MANAGEMENT USA FU | X | X | | | X | X | X | X |
| 4973 | \$ EH | SEI Investments Distribution Co./JA S&P 500 Index Fund | X | X | | | X | X | X | X |
| 5521 | \$ JU | SEI Investments Distributon Co./The Arbitrage Funds | X | X | | | X | X | | X |
| 5763 | \$ NV | SEI Investments Distributors Co./Advisors Inner Circle Funds | X | X | Y | X | X | X | X | X |
| 4875 | \$ NM | SEI Investments Distributors Co/Wilshire Mutual Funds | X | X | | | X | X | X | X |
| 4952 | 5I | SEI TRUST COMPANY | X | X | | | | | X | |
| 6667 | \$ 9X | SEI/CNI Charter Funds | X | X | | | X | X | X | |
| 5559 | RX | SEI/CNI Charter Funds II | X | X | | | | | X | |
| 5606 | HP | SEI/First Hawaiian Fund | X | X | | X | X | X | X | |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 6691 | EC | SEI/Hancock Horizon Funds | X | X | | | | | X | X |
| 5506 | SE | Seligman Advisors, Inc. | X | X | Y | X | X | X | X | X |
| 4872 | S6 | Seligman Global Horizon Offshore Funds | X | X | Y | | | X | | X |
| 5873 | ES | Sentinel Financial Services, Co. | X | X | Y | X | X | X | X | X |
| 5779 | \$ QI | SIA Securities Corp. | X | X | | | X | | X | X |
| 5826 | CJ | Skandia Securities Americas/Multi Link Global Funds | X | X | | | | X | | X |
| 5708 | K1 | Smith Hayes Financial services | X | X | | | | | | |
| 5575 | J7 | Smith Hayes Financial Services Corp. | X | X | | | | | | |
| 5484 | 4T | SSH Securities Inc. | X | X | Y | | X | X | X | X |
| 4971 | | STATE FARM VP MANAGEMENT CORP | X | | | X | | | | |
| 5641 | \$ RU | State Street Global Markets LLC | X | X | Y | | X | X | X | X |
| 6576 | JF | State Trust Capital, LLC | X | X | | | | | | X |
| 6766 | SQ | Stephens Inc./Wells Fargo Collective Funds | X | X | | | | | X | X |
| 5643 | \$ T5 | Summit Mutual Funds, Inc. | X | X | | X | X | X | X | |
| 5161 | 0F | SYMETRA LIFE INSURANCE COMPANY | X | X | | | | | | X |
| 5680 | OO | Syndicated Capital, Inc. | X | X | Y | | | X | | X |
| 5586 | \$ TP | T. Rowe Price Investment Services Inc. | X | X | Y | X | X | X | X | X |
| 4945 | \$ J6 | Tamarack Distributors, Inc. | X | X | Y | X | X | | X | X |
| 6563 | 3T | TCW Investment Management Company | X | X | Y | | X | X | X | X |

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|-------|-------|---|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 6566 | LR | TD Ameritrade Trust Company | X | X | | | | | X | X |
| 5668 | \$ KS | Teachers Advisors, Inc.(TIAA-CREF) | X | X | | X | | X | X | X |
| 5106 | 1B | The Lutheran Brotherhood Family of Funds | X | X | | X | | X | X | X |
| 6625 | OL | The Northern Trust Company | X | X | | | X | | X | |
| 5541 | TH | Thornburg Securities Corp. | X | X | Y | X | X | X | X | X |
| 5461 | IE | TOP FLIGHT FUND | X | X | | | | | | |
| 5711 | WG | Touchstone Securities, Inc. | X | X | | | | X | X | X |
| 5488 | \$ RT | Tweedy Browne Co. LLC | X | X | | | X | | X | X |
| 4919 | \$ YK | U.S. Boston Capital Corp. | X | X | | | X | | X | X |
| 5443 | \$ V8 | U.S. Global Accolade Funds | X | X | Y | X | | | X | X |
| 5442 | \$ V7 | U.S. Global Investors Funds | X | X | Y | X | | | X | X |
| 5795 | HR | UBS Global Asset Management (US) Inc. | X | X | | | X | X | X | X |
| 6510 | 4F | UBS Global Asset Management(US), Inc./UBS Offshore Funds | X | X | | | | X | | X |
| 5603 | P7 | UBS GLOBAL ASSET MANAGEMENT/GROUP TRUST FUNDS | X | X | | | X | X | X | X |
| 5593 | NN | UBS GLOBAL ASSET MNGMNT (US) INC./PUERTO RICO FD | X | X | | | | X | | X |
| 5567 | \$ L9 | Ultimus Fund Distributors LLC/Davenport & Company | X | X | | | X | X | X | |
| 5479 | \$ PD | Ultimus Fund Distributors LLC/Hussman Strategic Growth Fund | X | X | | | X | | X | X |
| 5639 | ZS | ULTIMUS FUND DISTRIBUTORS LLC/STRALEM FUNDS | X | X | | | X | | X | |
| 5605 | \$ G7 | Ultimus Fund Distributors LLC/The Berwyn Funds | X | X | Y | | X | | X | X |

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|-------|-------|---|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5640 | ZT | ULTIMUS FUND DISTRIBUTORS LLC/THE RAM FUNDS | X | X | | | X | | X | |
| 5653 | 1Y | ULTIMUS FUND DISTRIBUTORS, LLC/APHAMARK INVESTMENT | | | | | X | | | |
| 6611 | OY | Ultimus Fund Distributors, LLC/Centurion Investment Trust | X | X | | | X | X | X | |
| 5733 | \$ RL | Ultimus Fund Distributors, LLC/Flippin, Bruce & Porter Funds | X | X | | | X | | X | |
| 5888 | JS | ULTIMUS FUND DISTRIBUTORS, LLC/GARDNER LEWIS INVES | X | X | | | X | X | X | |
| 5732 | \$ RG | Ultimus Fund Distributors, LLC/Government Street Funds | X | X | | | X | | X | |
| 5490 | \$ 2G | Ultimus Fund Distributors, LLC/Jamestown Funds | X | X | | | X | | X | |
| 5786 | \$ OG | Ultimus Fund Distributors, LLC/Oak Value Trust | X | X | | X | X | | X | X |
| 6595 | KJ | Ultimus Fund Distributors, LLC/Piedmont Investment Trust | X | X | | | X | X | X | |
| 6692 | L1 | Ultimus Fund Distributors, LLC/PMFM Investment Trust | X | X | | | X | X | X | |
| 6593 | 5J | Ultimus Fund Distributors, LLC/Profit Value Funds | X | X | | | X | | X | |
| 5830 | \$ M4 | Ultimus Fund Distributors, LLC/Schwartz Investment Trust | X | X | | | X | | X | |
| 6551 | 3D | Ultimus Fund Distributors, LLC/Shepherd Street Funds | X | X | | | X | X | X | |
| 6507 | FT | Ultimus Fund Distributors, LLC/Surgeons Diversified Investment Fund | X | X | | | X | | X | |
| 5558 | \$ E2 | Ultimus Fund Distributors, LLC/The GKM Funds | X | X | | | X | | X | |
| 5802 | L2 | Ultimus Fund Distributors, LLC/Wells Family of Real Estate Funds | X | X | | | X | X | X | X |
| 5430 | FW | Ultimus Fund Distributors, LLC /TFS Capital Inv Tr. | X | X | | | | | | |
| 6697 | \$ C6 | Ultimus Fund Distributors/The Monteagle Funds | X | X | Y | | X | X | X | X |
| 6535 | WJ | Ultimus Fund Distributors/Veracity Funds | X | X | | | X | X | X | X |

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|-------|-------|--|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 5773 | \$ WY | UMB Dist.Svcs LLC/Wasatch Advisors | X | X | | | | X | X | X |
| 4861 | DQ | UMB Distribution Services, LLC/Access Capital Strategies Community Investment Fund | X | X | | | X | X | X | |
| 4985 | 7N | UMB Distribution Services, LLC/Grand DS/Investment Managers Series Trust | X | X | | X | X | X | X | X |
| 5897 | WL | UMB DISTRIBUTION SERVICES, LLC/NAKOMA ABSOLUTE RET | X | X | | | X | | X | X |
| 6599 | DZ | UMB Distribution Services, LLC/Stewart Capital Advisors | X | X | | | X | X | X | |
| 4893 | \$ GF | UMB Distribution Svcs LLC/Lend Lease Funds | X | X | | | X | X | X | X |
| 5728 | \$ L6 | UMB Distribution Svcs LLC/Marsico Funds | X | X | | | X | X | X | X |
| 4854 | \$ P1 | UMB Distribution Svcs LLC/UMB Scout Funds | X | X | | | X | | X | X |
| 6534 | CF | UMB Fund Services LLC/Giant 5 Funds | X | X | | X | X | X | X | X |
| 5598 | \$ N3 | UMB Fund Services, LLC/Westport Funds | X | X | | | X | X | X | X |
| 4927 | KV | Unified Financial Securities Inc./Dean Family of Funds | X | X | | | | X | X | X |
| 5902 | OE | UNIFIED FINANCIAL SECURITIES INC./PENN STREET FUND | X | X | | | | X | | |
| 4923 | VH | Unified Financial Securities Inc./Rockland Funds | X | X | | X | | | X | X |
| 5464 | R2 | Unified Financial Securities Inc./UFSI-Unified Funds | X | X | | | X | X | X | X |
| 6520 | 0R | UNIFIED FINANCIAL SECURITIES, INC FBO RIVERNORTH FUNDS | | | | | | | | |
| 6693 | \$ CZ | Unified Financial Securities, Inc./Builders Fixed Income Trust | X | X | | | X | | X | |
| 4725 | 4B | UNIFIED FINANCIAL SECURITIES, INC./FBO ROOSEVELT FUND | | | | | | | | |
| 5419 | T6 | UNIFIED FINANCIAL SECURITIES, INC./LANDMARK FUND | X | X | | | | X | | |
| 5499 | DS | Unified Financial Securities, Inc./Shyhawk Capital Funds | X | X | | | | | | |

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|-------|-------|---|-----|-------|-----------------|------|--------------|------------------|-------|-----|
| 6518 | 5S | Unified Financial Services, Inc. /Timothy Plan Funds | X | X | Y | | X | X | X | X |
| 5827 | \$ 4M | Value Line Inc. | X | X | | | | | X | X |
| 4977 | \$ XV | Van Eck Sec Corp Worldwide Ins. Tr | X | | | | | | X | X |
| 5524 | VE | Van Eck Securities Corp. | X | X | Y | X | X | X | X | X |
| 5513 | AC | Van Kampen Funds, Inc. | X | X | Y | X | X | X | X | X |
| 5660 | IM | Van Kampen Funds, Inc./529 PLANS | X | X | | | | X | | X |
| 5757 | VN | Van Liew Securities, Inc. | X | X | Y | | X | | X | X |
| 5772 | \$ VG | Vanguard Marketing Corp. | X | X | | X | X | | | X |
| 4996 | RN | Vanguard Marketing Corp./Vanguard Inv. Series PLC Funds | X | X | | | | | | |
| 4879 | F7 | Waddell & Reed, Inc. | X | X | | X | X | X | X | X |
| 6626 | 7M | Wall Street Management Corporation | X | X | | X | X | | | X |
| 5769 | \$ OQ | Wallace R. Weitz & Co. | X | X | | | | | X | X |
| 5522 | \$ ZP | Weiss Funds, Inc. | X | X | Y | | X | | X | X |
| 5576 | ST | Wells Fargo Funds Distributor, LLC | X | X | Y | X | X | X | X | X |
| 6601 | \$ 9Y | Westchester Capital Mgmt. Inc./The Merger Fund | X | X | | X | | X | X | |
| 5737 | \$ UZ | Weston Securities Corporation | X | X | | | | X | X | X |
| 5829 | MJ | Whitman (M.J.) LLC. | X | X | | X | X | X | X | X |
| 5538 | \$ BW | William Blair & Co. | X | X | Y | X | X | X | X | X |
| 6683 | \$ AW | Wireless Trust | X | X | | | | X | | |

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|------------------|----|----------------------------|-----|-------|--------------|------|-----------|---------------|-------|-----|
| 5548 | 6G | YieldQuest Securities, LLC | X | X | | | | X | X | |
| Totals | | | | | | | | | | |
| No-Load | | | | | | | | | | |
| 204 | | | 581 | 572 | 135 | 252 | 407 | 387 | 465 | 415 |