

## NSCC Mutual Fund Services List by Firms

10-Nov-08

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
605	KA	1717 Capital Management Company	X	X				X		
335	B7T	401(K) Investment Services Inc.	X	X		X		X		X
7119	OJT	401K Investment Services Inc/TPA	X	X		X				X
5973	6ET	5973-STATE STREET BANK & TRUST COMPANY/401K CO.	X	X		X				
7120	QDT	ADP INC. / TPA	X	X		X				X
191	Q1	Advantage Capital Corp.	X					X	X	
359	JD	Advisors Clearing Network, Inc.	X	X	Y	X		X	X	X
144	TL	AIG Financial Advisors, Inc.		X				X		
182	A1	AIG Financial Advisors, Inc. / AF1	X	X				X		
556	A2	AIG Financial Advisors, Inc. / AF2	X	X				X		
2144	9H	Alerus Financial, National Association	X	X	Y	X				X
8084	OT	Alliant Securities, Inc. Turner,Nord,Kienbaum	X	X	Y		X			
4570		Allianz Life Insurance Co. of North America-Fixed Business								
4523	5H	Allianz Life Insurance Company of North America	X							
8072	T7	Alpine Securities Corporation	X	X			X			
3920		AM & M Investment Brokers, Inc.	X							
754	GB	American Funds Distributors Inc	X	X		X				X
5718	0C	AMERICAN GENERAL DISTRIBUTORS, INC.								

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
522	AU	American General Securities						X		X
4569		American National Insurance Company	X							X
555	PK	American Portfolios Financial Services, Inc.		X				X		
500		American Portfolios Financial Services, Inc./Penn Plaza Brokerage						X		
68	U1	American Stock & Transfer Trust Company (AST)	X	X		X		X		X
4591	ZH	American United Life Insurance Company	X	X		X				X
508	V5	Ameriprise Trust Company	X			X				
2257	YO	Associated Bank Green Bay, NA	X							
328	EO	AXA	X	X	Y			X		X
753	BZ	B.C. Ziegler & Company	X		Y			X		
424	BA	Banc of America Investment Services Inc			Y			X		
955	ZL	Bank of America N.A.	X	X			X			
773	MP	Bank of America Securities LLC,	X	X	Y		X	X		
6317		BenefitStreet, Inc.								X
7031	2F	Black (James I.) & Co.	X	X			X			
49	E8	BNP Paribas Securities Corp.	X	X	Y		X	X		X
5385	JG	Branch Banking & Trust Company	X	X						
10	6F	Brown Brothers Harriman & Co.	X	X			X			
241	H3	Cadaret, Grant & Co., Inc.	X	X				X		X
699	IJ	Cantella & Co., Inc.		X				X	X	X

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
214	U4	Capital Financial Services, Inc.						X		
588		Capital Investment Brokerage, Inc.						X		
545		Capital Investment Group Inc.						X		
5996	BZ	Cardinal Bank	X	X		X				
2880	CY	Central Trust Bank (The)	X	X	Y	X				X
164	CH	Charles Schwab & Co., Inc.	X	X	Y	X	X	X		X
686	GY	Chase Invest Serv Corp./Middle Markets Group		X				X		
693	GT	Chase Invest Serv Corp./Small Business Finl Serv		X				X		
758	HC	CHASE INVESTMENT SERVICE CORP/OPPENHEIMER 401K		X				X		
432	4R	Chase Investment Svcs. Corp.		X				X		
290	N6	Chevy Chase Securities, Inc.	X	X	Y			X		
908	DV	Citibank, N.A.	X	X						
418	SH	Citigroup Global Markets Inc.	X	X	Y		X	X	X	X
291	D3	Citistreet Advisors, LLC	X	X		X		X		X
2392	JQ	City National Bank	X	X		X	X			
702	SC	Clearview Correspondent Services, LLC	X	X	Y		X	X		
2108	BK	Comerica Bank	X	X		X	X			X
177		Commonwealth Equity Services, LLP						X		
5960	4XT	Community Bank, N.A.	X	X		X				
2484	CG	Compass Bank/Asset Management Group	X	X						

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
360	1F	Comprehensive Asset Management						X		
8153	8X	Cosse International Securities	X	X	Y		X			
574	KW	Crowell Weedon & Company	X	X	Y		X	X		
329	F4	CUSO Financial Services, L.P.						X		
7100	K4T	Daily Access Corp.	X	X		X				X
715	DP	Davenport & Co. L.L.C.	X	X	Y		X	X	X	
5144	DL	David Lerner & Associates, Inc.	X	X	Y		X	X		X
573	AB	Deutsche Bank Securities Inc.	X	X	Y		X	X	X	
344		Diamant Investment Corp.	X							
126	DS	Dresdner Kleinwort Securities LLC	X		Y					
385	EP	E*Trade Clearing, LLC	X	X	Y		X	X		
57	ED	Edward Jones	X	X	Y		X	X		X
371	EL	Emmett A. Larkin & Co., Inc.	X	X	Y					
560	HK	Equity Services, Inc.						X		
254	EJ	Essex National Securities, Inc.	X	X	Y			X		X
253		Federated Securities Corp.			Y					
937	GX	Fiduciary Trust Co. Intl.	X	X	Y					
322	TB	Fifth Third Securities, Inc.						X		
343		Financial Network Invest. Corp.	X					X		X
146	IV	First Allied Securities, Inc.		X				X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
5999	WZ	First American Bank	X	X	Y	X				
141	WF	First Clearing, LLC	X	X	Y		X	X		X
337	S8	FIRST DOMINION CAPITAL CORP.						X		
5146	FX	First Investors Corp.	X	X	Y			X		X
2254	H2	First National Bank of Omaha	X	X		X	X	X		
309	FC	First Southwest Company	X	X	Y					
192	B9	First Tennessee Brokerage, Inc.	X	X	Y			X		X
8244	3S	First Trust Portfolios, L.P.	X	X						X
61	D4	FMSBonds, Inc.	X							
728	WS	FOLIO (FN) Investments Inc.	X	X			X	X		X
548	9L	Foothill Securities, Inc.								
4832	7QT	FRANK RUSSELL TRUST COMPANY	X	X		X				
78		Fred Alger & Company, Inc.								
169	Q2	FSC Securities Corporation	X					X	X	
5970	4K	GE Financial Trust Company	X	X		X				X
471	2Q	Genworth Financial Securities Corporation	X	X				X		
415	QK	Girard Securities, Inc.						X		
2139	X9	Glenmede Trust Co.	X	X			X			
5	UY	Goldman Sachs & Co.	X	X	Y		X	X		
501	1K	Goldman Sachs Execution & Clearing, L.P.	X	X				X		X

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
678	Q5	GPC Securities, Inc	X	X		X		X		X
473	T9	GPC Securities, Inc/Trust Networking	X	X		X		X		X
726		Great American Advisors, Inc.						X		
731	3B	H. Beck, Inc.						X		
8100	YR	H.C. Denison Co.	X	X	Y		X			
5950	T4	Hand Benefits & Trust Company	X	X		X				X
614	JR	Hantz Financial Services, Inc.	X	X				X		
4599	9J	Hartford Life Insurance Co/Mutual Funds	X	X	Y	X				
4501	9I	Hartford Life Insurance Company	X	X	Y	X				
252	HJ	Hazlett Burt & Watson, Inc	X	X	Y			X		
7107	1CT	Hewitt Associates, L.L.C.	X	X		X				X
2165	RH	HSBC Bank USA, N.A.	X	X						
816	7B	HSBC Securities (USA), Inc	X	X			X	X		
2305	A7	Huntington National Bank	X	X						
86	J7	HUNTINGTON NATIONAL BANK/HIC BD MUTUAL FUND		X				X		
746	HU	Huntleigh Securities Corp.	X	X	Y			X		
6189		IBC Agency, Inc.								
282	L4	ICMA - RC Services, LLC	X	X	Y	X				X
566	HN	IFC Holdings, Inc. / INVEST Financial Corporation		X				X		
113	2A	Infinex Investments Inc.		X				X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
172		ING Financial Advisers, LLC								
492	I4	ING Financial Partners, Inc.		X				X		
465	Y8	ING Financial Partners, Inc./LSSI	X					X		
4575	DTT	ING Life Insurance and Annuity Company/Fund Serv/Trading	X	X		X				X
4510	ET	ING USA Annuity and Life Insurance Company/Mutual Funds Trading	X	X		X				X
124	J0	Ingalls & Snyder,LLC		X			X			
285	IT	InterSecurities, Inc.	X	X				X	X	X
138	MB	Investacorp	X	X				X		
565	HM	Investment Centers of America, Inc.	X	X				X		
5165	3E	Investors Bank & Trust	X	X		X		X		X
768	HL	J.J.B. Hilliard, W.L. Lyons, Inc.	X	X	Y		X	X		X
352	BR	J.P. Morgan Clearing Corp.	X	X	Y		X	X	X	X
374	JM	Janney Montgomery Scott, LLC	X	X	Y		X	X		X
19	G5	Jefferies & Co., Inc.	X	X	Y			X		
4513		John Hancock Life Insurance Company (U.S.A.)								
4704		JOHN HANCOCK LIFE INSURANCE COMPANY (USA) / RPS SE								
409	FFT	JP MORGAN CHASE BANK/ADP EXPRESS ACCOUNT	X	X		X				
5978	BC	JP MORGAN CHASE BANK/JPM RPS LLC	X	X		X				
204	DGT	JPMORGAN CHASE BANK N.A./WORLDWIDE SECURITIES SERV	X	X				X		
6652	SS	JPMORGAN CHASE BANK/PB-PCS SHARED SERVICES					X	X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
2255	FN	JPMorgan Chase Bank/PCS Shared Services	X	X			X	X		
2205	KZ	Key Bank, National Association	X	X				X		X
799	MC	KeyBanc Capital Markets, Inc.	X	X	Y		X		X	
4835	7V	KMS Financial Services Inc.	X					X		
712	C3	Koonce Securities, Inc.	X	X	Y					
105		Kovack Securities, Inc.						X		
194	VB	L.M. Kohn & Company						X		
215	4H	LaBranche Financial Services, LLC	X	X						
232		LaSalle St. Securities, L.L.C.						X		
308	UX	Lazard Capital Markets LLC.	X	X	Y		X	X		
74	LH	Lehman Brothers, Inc.	X	X	Y			X		
478	JV	Lincoln Financial Advisors Corp.						X		
211	CU	Lincoln Financial Securities Corporation			Y			X		
145	LI	Lincoln Investment Planning, Inc.	X	X	Y			X		X
4685	3Y	LINCOLN NATIONAL LIFE INSURANCE COMPANY/II	X			X				
75	9B	LPL Financial Corporation	X	X	Y		X	X		X
992	2Y	M & I Marshall & Ilsley Bank	X	X		X	X			
757	R9	M Holdings Securities, Inc.	X	X				X		X
40	MU	M&T Securities, Inc.	X	X				X		
581	LJ	M. L. Stern & Co., LLC	X	X	Y		X			X

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
2128	JKT	Manufactures & Traders Trust Co./TPA	X	X	Y	X				
4534	VB	Massachusetts Mutual Life Insurance Co/Fund Operations	X	X		X				X
4545	2L	Massachusetts Mutual Life Insurance Company	X	X		X		X		
559		MAXIM GROUP LLC						X		
347	M3	Mercer Trust Company	X	X		X				X
161	MY	Merrill Lynch, Pierce, Fenner & Smith, Inc.	X	X		X	X	X		X
727	MW	Mesirow Financial, Inc.	X	X	Y		X	X		X
597	OI	Metlife Securities Inc./Metlife Defined Contribution Group	X	X	Y	X				X
484	XZ	Metlife Securities, Inc.	X	X	Y			X		
7131	1PT	MetLife Securities, Inc/TPA	X	X		X				X
5777	FE	Metropolitan Life Insurance Company	X	X		X				X
5954	3M	MG Trust, LLC	X	X		X				X
5900		MG Trust, LLC/MSCS						X		
684	Q2	Mid Atlantic Capital Corp./Sacramento						X		
102	7W	MID ATLANTIC CAPITAL CORP/SUNGARD-HINSDALE	X	X						
255	MI	MML Investors Services, Inc.		X	Y			X	X	X
780	MK	Morgan, Keegan and Co., Inc.	X	X	Y		X	X		X
466	2M	Multi Financial Securities Corp	X	X				X		
444	T7	Multi-Financial Securities Corporation/Vestax Securities Corporation	X					X		X
398		Mutual of Omaha Investor Services, Inc.						X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
479	KG	Mutual Service Corporation						X		
4845	5B	Mutual Services Corp./Contemporary Financial Solutions						X		
2316	JJT	National City Bank	X	X		X	X	X		X
226	NF	National Financial Services LLC	X	X	Y	X	X	X	X	
668	WC	National Planning Corporation		X				X		X
4656	ZM	National Security Life and Annuity Company	X							
263	I6	Nationwide Invest. Svcs. Corp.	X	X		X				X
4577	CU	Nationwide Life & Annuity Company of America	X	X						
4576	CQ	Nationwide Life Insurance Company of America	X	X						
301	M8	Nationwide Securities, Inc.						X		
707	WV	New England Securities Corp.		X				X		
4548		New York Life Insurance and Annuity Corp.								X
906	Q1	New York Life Trust Company	X	X		X				X
608	D9	Newedge USA, LLC/Equity Clearing Div	X	X	Y					
481	6K	NFP Securities, Inc.	X	X				X		X
231		Northeast Securities Inc.						X		
368		NYLife Securities, LLC						X		
92	ON	O.N. Equity Sales Company (The)	X	X				X		X
599		Oberlin Financial Corp.						X		
4189		Ogilvie Security Advisors Corp.						X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
4526	R6	Ohio National Life Insurance Company	X							X
571	UN	Oppenheimer & Co. Inc.	X	X	Y			X		
496	2P	Park Avenue Securities, LLC	X	X				X		X
4572	W5	Penn Mutual Life Insurance Co.	X	X						X
234	NK	Penson Financial Services, Inc.	X	X	Y		X			
4565	YL	Peoples Benefit Life Insurance Company	X	X		X				
8086	Y3	Perelman-Carley & Associates, Inc.	X	X	Y		X			
443	PE	Pershing LLC	X	X	Y		X	X		X
428	PQ	Pflueger & Baerwald, Inc.	X							
592	C2	PFPC DIST INC / OMNIBUS (HOUSE)ACCOUNT	X	X						
195	SY	PFPC Dist, Inc./Nationwide Best Of America Adv Svcs	X	X						X
474	TW	PFPC DIST, INC/Morningstar Wrap Program Customers	X	X						X
644	FU	PFPC DIST/Neuberger & Berman Mngmt INC	X	X				X	X	
657	2K	PFPC DISTRIBUTORS INC./MANAGERS FUNDS WRAP PROGRAM	X	X						
689	LQ	PFPC DISTRIBUTORS INC/PRIMERICA FINANCIAL SERVICES	X	X				X	X	X
440	KU	PFPC Distributors, Inc.	X	X		X				
463	M9	PFPC DISTRIBUTORS, INC./FULL SERVICE HOUSE ACCOUNT	X	X		X				
649	IF	PFPC Distributors, Inc./JJB Hilliard, WL Lyons	X	X						X
468	4P	PFS Investments Inc.		X				X		
489	XL	PlanMember Securities Corporation	X	X				X		X

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
2616	PX	PNC Bank N.A.	X	X	Y	X				X
5987	KF	Presidential Bank, FSB	X	X						
665	TS	Prime Capital Services, Inc.	X	X						
701	BB	Primevest Financial Services, Inc.	X	X	Y		X	X	X	X
175	3I	PRINCOR FINANCIAL SERVICES, INC./M	X	X		X		X		X
6326	VA	ProEquities, Inc.						X		
6525	TX	PRUDENTIAL INSURANCE COMPANY OF AMERICA/PRUDENTIAL RETIREMENT INS. AND ANNTY CORP	X	X		X				X
467	SB	PWMCO, LLC	X	X			X			
4288		Questar Capital Corp.						X		
725	RJ	Raymond James & Associates, Inc.	X	X	Y		X	X		X
235	DBT	RBC Capital Markets Corporation	X	X	Y	X	X	X		X
5962	1NT	Reliance Trust Company	X	X		X	X	X		X
4606	A8	Reliastar Life Ins Co./Reliastar New York	X	X						
4603	BL	Reliastar Life Ins Co./Reliastar Retirement Plans	X	X						
4653	UI	Reliastar Life Insurance Company of New York	X	X		X				
4652	UG	Reliastar Life Insurance Company/Life & Annuity	X	X		X				
8192	X7	Richards, Merrill & Peterson, Inc.	X	X	Y		X	X		
220	PS	Ridge COSI/People's Securities Inc.		X	Y					
547	RI	Robert W. Baird & Co., Inc.	X	X	Y		X	X		
483		Rydex Distributors, Inc.						X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
13	GP	Sanford C. Bernstein & Co., LLC.	X	X			X			
705	4A	Scotttrade Inc.	X	X	Y					X
590	MO	Securities America Inc.	X	X				X		
4527	N7	Security Benefit Life Insurance Company	X	X		X				X
372	XU	Signator Investors, Inc.		X				X		X
640	HI	SII Investments, Inc.		X				X		
494	X5	Smith, Moore & Co.	X	X	Y		X	X		
346		Sorrento Pacific Financial, LLC						X		
279	SW	Southwest Securities, Inc.	X	X	Y			X		X
7114	6JT	STANDARD RETIREMENT SERVICES, INC.	X	X		X				
6519	HH	STATE ST BK & TR/SANFORD BERNSTEIN ALLIANCE CAP	X	X		X				X
5974	BT	STATE ST.BK & TR CO./MERCANTILE SAFE-DEP & TR CO	X	X		X				X
228	7Y	STATE STREET & TRUST CO./AXA EQUITABLE LIFE INSURA	X	X		X				X
761	JAT	STATE STREET BANK & TR CO/KC TRUST OPERATION	X	X	Y	X				
5991	JWT	State Street Bank & Trust Co./ADP-Morgan Stanley	X	X		X				X
130	JO	STATE STREET BANK & TRUST CO./JP MORGAN RETIREMENT	X	X						
2955	7F	STATE STREET BANK & TRUST CO./WEALTH MANAGEMENT SR	X	X		X				
490	CC	STATE STREET BANK & TRUST CO/ALLIANCE GLOBAL INVES	X	X				X		
5953	YV	STATE STREET BANK & TRUST CO/NYLIM/SIP	X	X		X				X
5959	LTT	STATE STREET BANK & TRUST COMPANY/ACS HR SOLUTIONS	X	X						

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
5966	LST	STATE STREET BANK & TRUST COMPANY/ACS HR SOLUTIONS	X	X						
6587	LV	STATE STREET BANK & TRUST COMPANY/GOLDMAN, SACHS &								
5990	3UT	State Street Bank & Trust Company/Southern California Edison	X	X		X				
6688	CDT	STATE STREET BANK & TRUST COMPANY/THE 401(K) COMPA								
2319	P2	State Street Bank & Trust/BFDS					X			X
538	5L	State Street Bank & Trust/Valic	X	X		X				
2132	X8	STATE STREET BANK AND TRUST COMPANY/INSTITUTIONAL CUSTODY (IBT)	X	X			X	X		
6654	T	STATE STREET BANK TRUST CO./JPMORGAN RETIREMENT PL/EDS	X							
5968	AH	STATE STREET BANK&TRUST CO/T.ROWE PRICE RET PL SER	X	X		X				
419	SX	Stephens, Inc.	X	X	Y		X	X		
750	SL	Sterne, Agee & Leach, Inc.	X	X	Y		X	X		
793	SU	Stifel, Nicolaus & Co., Inc.	X	X	Y		X	X		
445	F5	Stockcross Financial Services, Inc.	X	X			X	X		
6759	1F	Stoeber, Glass & Co., Inc.	X		Y					
2482	TC	Sun Trust Bank/STES	X	X						
6495	P2	SUNGARD INSTITUTIONAL BROKERAGE INC./INTERMEDIARY		X						
6485	QE	SUNGARD INSTITUTIONAL BROKERAGE, INC.		X						
7027	TD	Sweney Cartwright & Company	X	X			X			
12	XA	Swiss American Securities, Inc.	X	X	Y		X			
427	7R	Symetra Investment Services Inc.	X	X		X				X

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
7224	S1	Synergy Investment Group, LLC						X		
7117	IWT	T. Rowe Price Retirement Plan Services Inc.	X	X		X				
5961	E7	TD Ameritrade Trust Company	X	X		X	X			
2258	FZ	TD Ameritrade Trust Company/First Trust	X	X	Y					X
5998	PO	TD Ameritrade Trust Company/IA Services	X	X			X			
5982	AS	TD Ameritrade Trust Company/IRP Services	X	X		X				
579	DH	TD Ameritrade Trust Company/Resources	X	X						X
188	AD	TD Ameritrade, Clearing	X	X	Y		X	X		X
7129	V6T	THE 401K STORE.COM	X	X		X				
901	BNT	The Bank of New York Mellon	X	X	Y	X	X			
954	GRT	The Bank of New York Mellon/Mellon Trust of New England, National Association	X	X		X	X			
318	QW	The Investment Center						X		
354	TZ	Tower Square Securities, Inc.	X	X	Y			X		
7134	9KT	TRADEBRIDGE, INC./TPA	X			X				X
224	Y5	Transamerica Financial Advisors, Inc.						X		X
5981	F4	Trust Company of America	X	X						
2025	XY	Trust Company Of Illinois	X	X		X				
2803	SDT	U.S. Bank N.A.	X	X		X				
221	PW	UBS Financial Services, Inc.	X	X	Y		X	X	X	X
642	DC	UBS Securities, LLC	X	X			X			

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
2451	UI	UMB Bank Natl. Association			Y					
2450	UM	UMB Bank, N.A.	X	X	Y	X	X			
5988	H5	UMB Bank/UMB Scout Brokerage Services	X	X	Y			X		
5983	DD	Unified Trust Company National Association	X	X		X				
2067	7G	Union Bank & Trust Company	X				X			
2664	YH	Union Bank of California N.A./CNA	X	X		X	X			
2145	BP	Union Bank of California, N.A.	X	X	Y	X	X			
367	J9	USAA Investment Management Co.	X	X	Y			X		X
603	LF	UVEST Financial Services Group, Inc.		X				X		
542	X6	VALIC Financial Advisors, Inc.	X	X				X	X	
681	QJ	VALIC FINANCIAL ADVISORS/ACCOUNT 2	X	X		X		X		X
589	Q2	Valley National Investments, Inc.	X					X		
5972	6L	VANGUARD FIDUCIARY TRUST COMPANY	X	X		X				X
7122	R1T	Vertical Management Systems, Inc./TPA	X	X	Y	X				
268	HV	Vest (H.D.) Investment Services, Inc.	X	X	Y			X		X
929	EZT	Wachovia Bank N.A.	X	X	Y	X	X	X		
201	AG	Wachovia Securities, LLC	X	X	Y	X	X	X	X	X
687	NZ	Waddell & Reed, Inc.	X	X				X		
626	5O	Wall Street Advisor Services, LLC								
533	OX	Walnut Street Securities	X	X				X		

Key: Y = Agreement Signed X = Current User

NSCC#	Alpha	Firm	F/S	Ntwrk	Ntwrk Agrmnt	ACATS		Comm Settlmnt	Global Update	MFPS
						DCC&S	F/S			
469	JO	Waterstone Financial Group, Inc.						X		
103	WE	Wedbush Morgan Securities, Inc.	X	X	Y		X	X		
2195	1M	Wells Fargo Bank Minnesota, N.A.	X	X		X				
733	RA	Wells Fargo Investments, LLC	X	X	Y		X	X		X
771	WB	William Blair & Company, L.L.C.	X	X	Y		X	X		X
2215	VPT	Wilmington Trust Company	X	X		X	X			X
283	PQ	Wilson-Davis & Co.	X	X			X			
332	UO	Winslow, Evans & Crocker, Inc.						X		
460	ZS	World Equity Group, Inc.						X		
729	TJ	World Group Securities, Inc.	X	X				X		X
4312		WRP Investments Inc.	X							
2104	U9	Zions First National Bank	X	X						

<b>Totals</b>			F/S	Ntwrk	Ntwrk Agrmnt	DCC&S	ACATS F/S	Comm Settlmnt	Global Update	MFPS
			249	247	94	92	80	170	18	109